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6 **Folding-mediated soft elasticity and bandgap variation**
7 **in mechanical metamaterials**

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20 Soft mechanical metamaterials with hinge-like elements can undergo multi-step recon-
21 figuration through folding and contacts, and thus exhibit highly nonlinear responses.
22 Numerical simulation of the nonlinear behaviors is essential for the design and control
23 of the mechanical metamaterials, but it remains a challenge due to complicated nonlin-
24 ear effects. Here, we report the finite element modeling of multi-step reconfiguration of
25 a shape-changing metamaterial, and elucidate the underlying mechanism of soft elastic-
26 ity. The predicted stress-strain curve together with the folding angles of hinge elements
27 shows excellent agreement with experimental data reported in the literature. Moreover,
28 we explore the influence of reconfiguration and folding-induced internal stress on the
29 bandgap distribution of the mechanical metamaterials. Our efforts provide useful guide-
30 lines for the design and application of mechanical metamaterials for both static and
31 dynamic situations.

32 *Keywords:* Mechanical metamaterials; self-folding; bandgap; soft elasticity; finite
33 element.

34 **1. Introduction**

35 Mechanical metamaterials are man-made materials comprising an array of regu-
36 lar/irregular arrangement of unit cells, and their unusual or novel properties origi-
37 nate from the design and patterning of unit cells rather than from the chemistry
38 of their constituents. Soft mechanical metamaterials are made of elastomeric mate-
39 rials, mainly readily fabricated via 3D printing or molding, whose behaviors are
40 dictated by deformation, stress and motion.¹⁻⁵ Soft mechanical metamaterials have
41 attracted increasing attentions in recent years, because of their diverse potential

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1 applications in soft robotics,^{6,7} deployable and morphing structures,^{8–10} reusable
2 energy dissipation^{11–14} and flexible electronics.¹⁵

3 Soft elasticity is a notation used in the community of liquid crystal elastomers
4 (LCEs). Soft elasticity refers to a phenomenon when a physical system undergoes a
5 substantial deformation or an increase in strain at a fixed stress level, i.e. there exists
6 a plateau in the stress–strain curve where the strain increases continuously while
7 the stress remains a constant. LCE is a cross-linked polymer network entangled with
8 rod-like liquid crystal molecules. When the alignment of these rod-like molecules is
9 random, it is in an isotropic state; when the liquid crystal molecules align themselves
10 along the direction, it is in a nematic state. If the LCE is deformed perpendicular
11 to the director’s direction, a soft elasticity phenomenon has been reported,^{16–18}
12 in which the LCE stretches at near-constant stress. The soft elasticity of LCE is
13 attributed to the reorientation of the nematic director to align along the direction
14 of the applied stretch. Reorientations of the director that are not aligned with the
15 stretch induce continuous increase of strain while the stress remains unchanged, a
16 highly nonlinear response observed in both experiments and simulations.^{16–18}

17 A mechanical analogy of soft elasticity can be realized in a special class of soft
18 mechanical metamaterials with hinge-like elements. These mechanical metamate-
19 rials include kirigami metamaterials, metamaterials with rigid parts and flexible
20 hinge elements, etc. Under external loadings, the hinge-like elements undergo local
21 buckling instability and the surrounding parts rotate about the hinges, resulting in
22 continuous deformation without the need to increase the applied stress level. This
23 type of instability-mediated soft elasticity has been demonstrated in soft mechani-
24 cal metamaterials under either tension or compression.^{19–23} For the tension-induced
25 soft elasticity, it mainly occurs in some kirigami or cut-mediated metamaterials,
26 and it is easier to simulate. For the compression-induced soft elasticity, however, it
27 remains a challenge because the modeling involves large deformation nonlinearity,
28 and more importantly the nonlinearity due to contacts.²¹

29 Very recently, shape-changing mechanical metamaterials that undergo self-
30 guided, multi-step reconfiguration in response to global uniform compression
31 have been demonstrated experimentally.²¹ The topological reconfigurations of the
32 mechanical metamaterial were realized by the formation of internal self-contacts
33 between the elements of the metamaterial. A relevant multi-step deformation
34 mechanical metamaterial was demonstrated by Meng *et al.*²⁴ by combining sequen-
35 tial snapping-through and buckling at the microscopic unit cell level. The metama-
36 terial design by Meng *et al.*²⁴ is different from this study, and more importantly,
37 the multi-step deformation was induced by uniaxial compression rather than the
38 equi-biaxial compression considered herein. For rational design of the nonlinear
39 mechanical elements that undergo multi-step self-guided pathways and for better
40 understanding of the underlying nonlinear mechanics and physics, a computer mod-
41 eling of the multi-step folding process is crucial for the design and control of such
42 mechanical metamaterials. Nevertheless, it remains a blank due to the difficulties
43 above-mentioned.

1 Here, we report our efforts to model the nonlinear mechanics of multi-step fold-
2 ing of a mechanical metamaterial under uniform compression. The numerical details
3 of implementation in a commercial software, ABAQUS, is presented. The character-
4 istic stress–strain curve of such a shape-changing metamaterial during a sequence
5 of reconfigurations is successfully captured, together with the bending angles of the
6 hinges, and good agreement is achieved between numerical simulation and exper-
7 imental data reported in the literature. Our finite element modeling (FEM) data
8 results confirm the mechanism of existence of multiple plateaus in the stress–strain
9 curve, throwing a light on the design of mechanical metamaterials undergoing multi-
10 step folding. Foldings, on the one hand, entail reconfiguration and shape change of
11 the material, and, on the other hand, generate internal stress through the material.
12 Shape change as well as the generated internal stress not only influence the quasi-
13 static behavior of the metamaterial, but also may alter the dynamic properties
14 of the material. We show the variation of bandgap distribution of the mechanical
15 metamaterial due to folding, which would aid in the design of mechanical meta-
16 materials for both static and dynamic applications, in particular, the applications
17 focusing on vibration isolation and wave propagation.

18 2. Methodology

19 Figure 1 shows the mechanical metamaterial of interest in this paper and its dimen-
20 sions. Figure 1(a) gives the metamaterial sheet with 2×2 representative volume
21 elements (RVEs). In Ref. 21, Coulais *et al.* described in detail the material type
22 of the finite-size metamaterial sheet (shore 80A; Silex Silicones, Ltd.), the equi-
23 biaxial compression performed using Instron machine and the software for force–
24 displacement data recording and processing. Our simulation accords closely with
25 the material type and loading and boundary conditions used in the experiment by
26 Coulais *et al.*²¹ Figure 1(b) schematizes an RVE, which is comprised of a cross-
27 shaped pattern of 20 squares and enclosed by the red square in Fig. 1(a). In
28 Fig. 1(b), the 20 squares are classified into type 1 and type 2 according to their posi-
29 tions, with type 1 for central squares and type 2 for surrounding ones. Figure 1(c)
30 shows a single square with dimensions $W \times W$ and its two hinge connections. The
31 two hinges, one with thin width h_2 , and another with thick width h_1 , constitute
32 the most flexible part and the next-most flexible part of the square, respectively.
33 The angle α is defined as the relative rotation angle between two type-2 squares,
34 while the angle β is defined as the rotation angle between type-1 and type-2 squares.
35 Defined in this way, the angles α and β represent the folding angles measured at
36 the most and the next-most flexible hinges, respectively.

37 The equi-biaxial compression of a 2×2 mechanical metamaterial was inves-
38 tigated by using ABAQUS/Explicit 2017. The 2D finite element (FE) models
39 were constructed using plane strain approximation with the element type CPE6M,
40 and the accuracy of the mesh was ascertained through a mesh refinement study.
41 Two pairs of parallel plates were considered as 2D analytic rigid shells and the

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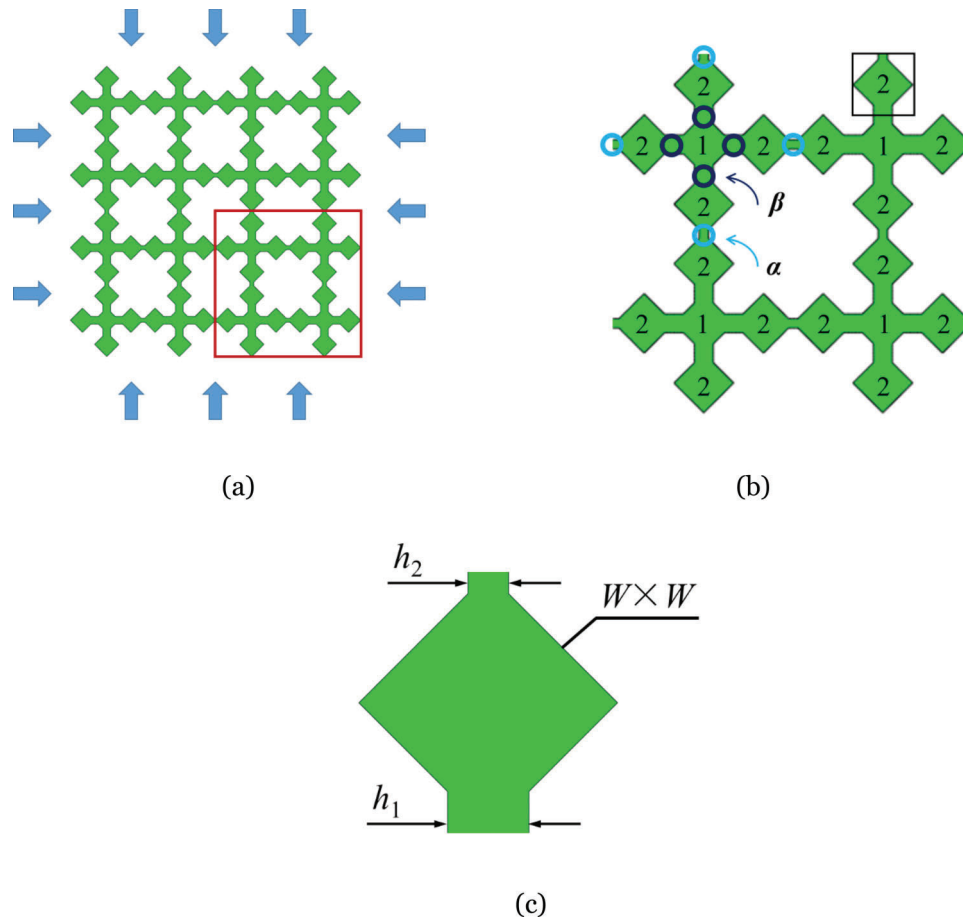


Fig. 1. (Color online) Computational model of soft mechanical metamaterial undergoing equi-biaxial compression. (a) A metamaterial with 2×2 RVEs being subjected to equi-biaxial compression. (b) A close-up view of the RVE enclosed by the red square in panel (a). (c) The dimensions of a unit square used to construct the metamaterial. Widths of two hinges, denoted by h_2 and h_1 , are marked, corresponding to the widths of the most and the next-most flexible hinges, respectively.

1 loads were applied to the reference points by increasing the displacement in oppo-
 2 site directions to model the equi-biaxial compression of the metamaterial. Fric-
 3 tionless surface-to-surface contact was employed between the metamaterial and
 4 the parallel plates, while frictional self-contact was defined at internal surfaces
 5 of the metamaterial with a friction coefficient of 0.7. A small viscous pressure
 6 load was applied to damp out low-frequency dynamic effects and to attain quasi-
 7 static equilibrium with a minimal number of increments. The response of the elas-
 8 tomeric metamaterial was captured using a Neo-Hookean hyperelastic material
 9 model with the initial shear modulus $\mu_0 = 1$ MPa and buck modulus $K_0 = 20$ MPa
 10 ($K_0/\mu_0 = 20$).

3. Results and Discussion

Figure 2 presents the numerical simulation results of the mechanical metamaterial in Fig. 1(a) under equi-biaxial compression. Figure 2(a) plots the stress-strain curve, where the nominal stress is normalized by the shear modulus of the material μ . The black solid line in Fig. 2(a) is the experimental result taken from the literature,²¹ and the red solid line is our result from FEM. The corresponding strains and the associated deformation snapshots of the points marked by A–F in Fig. 2(a) are given in Fig. 3. Figure 2(b) plots the calculated angles, α and β , defined in Fig. 1(b), during the whole deformation process, together with the experimental measurements taken from Ref. 21. The dotted lines with markers, filled black squares and

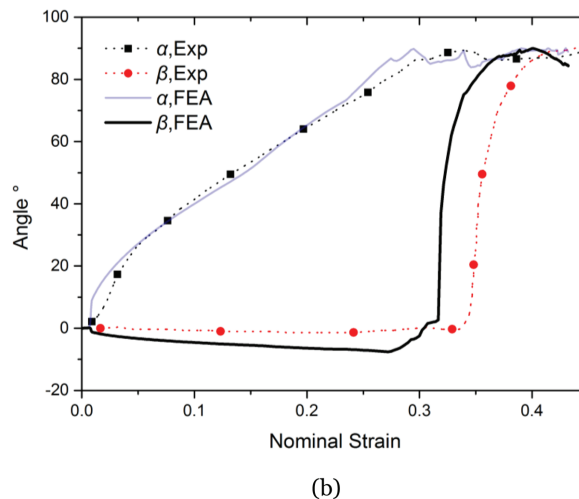
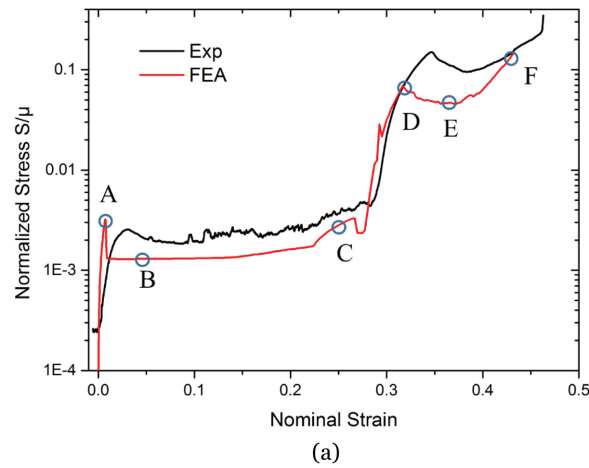


Fig. 2. (Color online) Quasi-static behavior of the finite-size mechanical metamaterial undergoing equi-biaxial compression. (a) Nominal strain versus normalized angle plot. (b) Nominal strain versus bending angle plot.

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1 red circles, represent the experiment of α and β angles, while the solid purple line
 2 and black line represent the corresponding numerical results from FEM simulation.
 3 In Figs. 2(a) and 2(b), excellent agreement is achieved between numerical results
 4 and experiment, which verifies the correctness of our numerical scheme.

5 A close look at Fig. 2(a) reveals the distinct stress–strain behavior of the
 6 mechanical metamaterial undergoing multi-step folding. The material first behaves
 7 elastically until a peak of stress marked by point *A* is reached. A classical buckling
 8 occurs at this critical point, marked by a sharp drop of stress in numerical simu-
 9 lation, whereas a continuous transition occurs in experiment in this state. This is
 10 because our simulation treats ideal material and structure without imperfections,
 11 while imperfections are inevitable in the experiment. Buckling causes the most flex-
 12 ible hinges to bend and the rigid squares to rotate about the hinge. This rotation
 13 results in continuous increase of strain under almost a constant stress level. This
 14 gives rise to the first plateau in the stress–strain curve marked from *B* to *C*. Bend-
 15 ing or rotating continues until the state *C* is attained, where self-contact occurs as
 16 shown in Fig. 3. A steep increase of applied stress is needed, as marked from *C* to
 17 *D* in Fig. 2(a), to complete the self-contact. Beyond the point *D*, the self-contacted
 18 rigid parts act as a single rigid part and rotate about the next-most flexible hinge
 19 as shown in Fig. 3. Then a second plateau, from *D* to *E*, forms and the plateau
 20 expands until the second self-contact begins and completes at point *F*. Another
 21 step increase of stress is expected after the condensation of the whole metamate-
 22 rial completes.

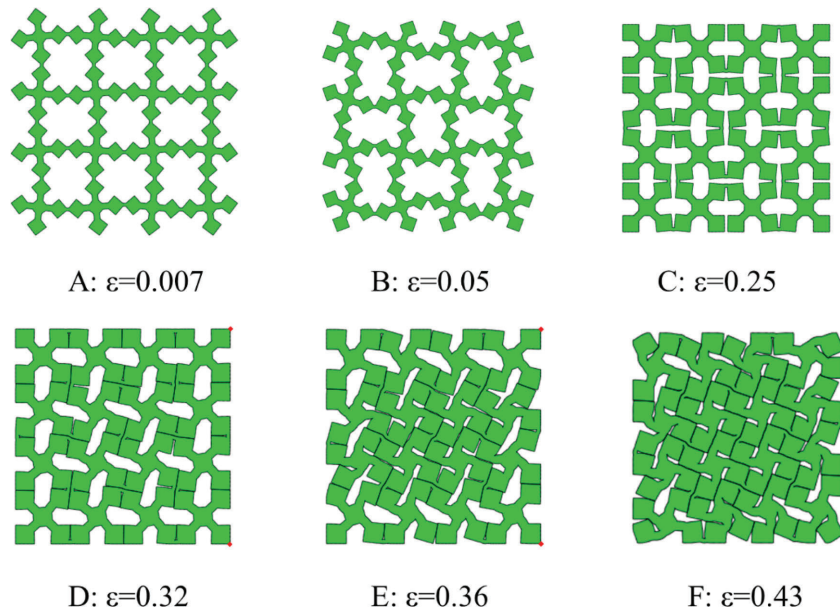


Fig. 3. (Color online) Deformation snapshots of numerical simulation of the mechanical metamaterial at various applied strains.

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1 From our simulations presented in Figs. 2 and 3, the mechanism of self-guided,
2 multi-step pathways of the mechanical metamaterial can be understood as follows.
3 The central part of the square in Fig. 1(c) works as a rigid part that rotates about
4 two hinges with different flexibilities. Upon compression, bending occurs first at
5 the most flexible hinge, and the bending moves the rigid square until it connects
6 with another stiff square and forms a self-contact. The connected and self-contacted
7 rigid parts act as a single rigid part in the following deformation. If the applied
8 compression is increased further, the second bending or rotation occurs at the next-
9 most flexible hinge, and the bending continues until another self-contact occurs,
10 which prevents further motion.

11 We then move on to study the bandgap distribution of the mechanical metama-
12 terial, incorporating the influence of shape change and the internal stress field. The
13 bandgap calculation chooses an RVE and enforces the Bloch boundary conditions.
14 The details of implementation of Bloch boundary conditions in a commercial code
15 such as ABAQUS are omitted herein and can be referred to in Ref. 25. Figure 4
16 presents the bandgap variations of two configurations, for both the undeformed
17 state and deformed state, given by the left columns and right columns in Figs. 4(a)
18 and 4(b), respectively. Figure 4(a) is for the case with $h_1 = 2$ mm and $h_2 = 1$ mm,
19 and Fig. 4(b) is for the case with $h_1 = 1$ mm and $h_2 = 0.5$ mm, both with the same
20 $W = 4.5$ mm. Inserted in Figs. 4(a) and 4(b) are the configurations of an RVE in
21 the undeformed and deformed states. The deformed state was chosen as the state
22 in the vicinity of occurrence of the first contact. To calculate the bandgap in the
23 left column for the undeformed configurations, it is straightforward to perform a
24 frequency analysis with Bloch boundary conditions enforced, and the bandgap cal-
25 culation is performed in a single analysis step. To obtain the bandgaps in the right
26 column in Fig. 4(b), two analysis steps are needed. Dynamic/Explicit was adopted
27 in the first step for the quasi-static deformation process, then the linear perturba-
28 tion for frequency analysis followed with the deformed configuration and internal
29 stress from the first analysis step being retained. Note that the folding-induced vari-
30 ations of configuration and, in particular, the induced internal stress have profound
31 effects on the bandgap distribution of the mechanical metamaterials. Comparing
32 the bandgaps in Fig. 4(a), the left figure has one wide bandgap in the range of 3221–
33 4186 Hz and one thin bandgap at around 6500 Hz. For the deformed case, the main
34 bandgap distribution remains nearly the same as in the undeformed case. However,
35 other additional bandgaps appear in the right column of Fig. 4(a), in particular, a
36 very low-frequency bandgap emerges at around 300 Hz. Vibration isolation for low-
37 frequency regime is highly desirable for various applications. These results confirm
38 that low-frequency bandgap may be obtained by introducing shape morphing and
39 the internal stress field. Comparison between two bandgaps in Fig. 4(b) gives sim-
40 ilar results as in Fig. 4(a), implying that the influence of internal stress and shape
41 variation on bandgap is a general principle. This is similar to previous study on
42 buckling-controlled bandgap of photonic crystals.^{26,27}

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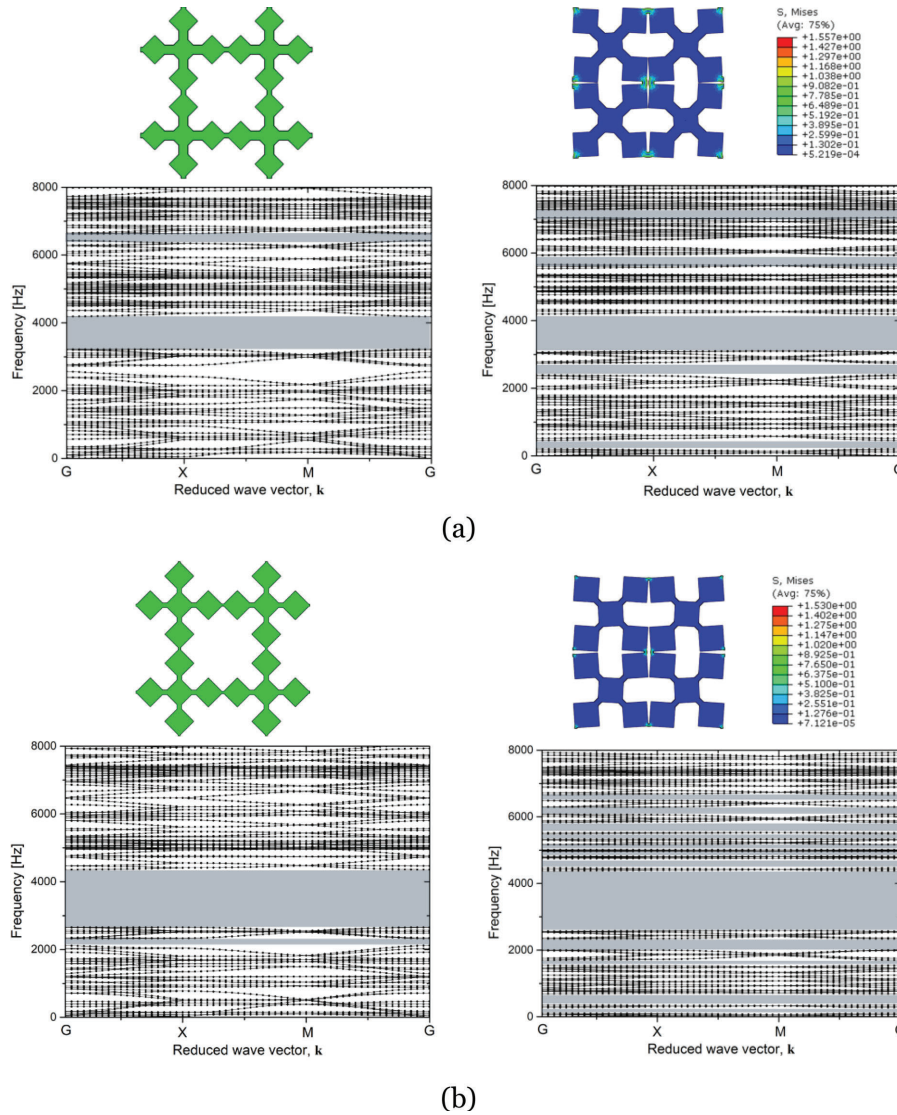


Fig. 4. (Color online) Bandgap distributions of the mechanical metamaterial with/without internal stress. Left column for the case with initial stress field. Two geometric parameters, namely, $h_1 = 2$ mm and $h_2 = 1$ mm for panel (a) and $h_1 = 1$ mm and $h_2 = 0.5$ mm for panel (b) with the same $W = 4.5$ mm, are considered.

1 4. Summary

2 We numerically investigate the quasi-static and dynamic frequency characteristics
 3 of a special soft mechanical metamaterial that can undergo self-guided multiple
 4 foldings and reconfigurations. The soft elasticity behavior of the mechanical meta-
 5 material during equi-biaxial compression is explored. The existence of plateaus

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1 in the stress–strain curve is captured by our simulation and good agreement is
2 obtained between the numerical and experimental results. Folding angles are also
3 obtained and compared well with the reported experimental data. In addition,
4 bandgap distribution of the mechanical metamaterial is also investigated for both
5 deformed and undeformed states. Incorporating variations of configuration and
6 folding-induced internal stress field alters the distribution of bandgap. The emer-
7 gence of a low-frequency bandgap is favored for low-frequency vibration isolation.
8 Our efforts provide useful guidelines for the design and application of soft mechan-
9 ical metamaterials.

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